

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

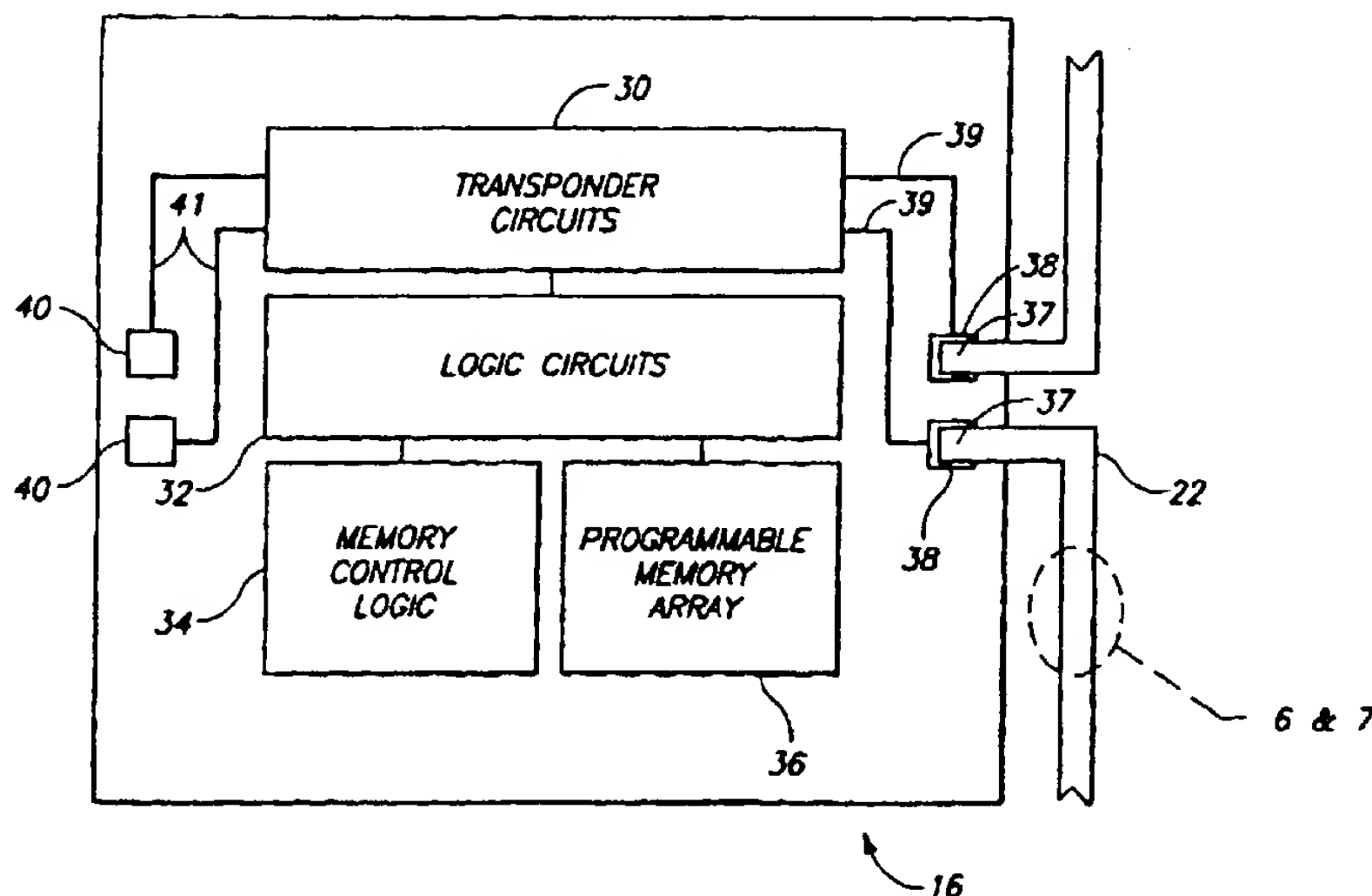
**THIS PAGE BLANK (USPTO)**



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04Q 1/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 98/05171</b>
			(43) International Publication Date: 5 February 1998 (05.02.98)
(21) International Application Number: PCT/US97/13519 (22) International Filing Date: 30 July 1997 (30.07.97) (30) Priority Data: 60/023,321      30 July 1996 (30.07.96)      US 08/708,164      29 August 1996 (29.08.96)      US (71) Applicant: MICRON COMMUNICATIONS, INC. [US/US]; 8000 South Federal Way, Boise, ID 83706 (US). (72) Inventors: TUTTLE, Mark, E.; 1998 Table Rock Road, Boise, ID 83712 (US). TUTTLE, John, R.; 5514 W. Lake River Lane, Boise, ID 83703 (US). (74) Agents: MALHOTRA, Deepak et al.; Suite 1300, 601 West First Avenue, Spokane, WA 99204-3817 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>	

(54) Title: RADIO FREQUENCY DATA COMMUNICATIONS DEVICE WITH ADJUSTABLE RECEIVER SENSITIVITY AND METHOD



## (57) Abstract

An adjustable radio frequency data communications device has a monolithic semiconductor integrated circuit (16) with integrated circuitry including interrogation receiving circuitry provided on the monolithic integrated circuit forming part of the IC, an antenna (22), and a power source to provide power for communications. At least one of the antenna and the interrogation receiving circuitry having reconfigurable electrical characteristics to selectively tune the circuitry within a range of tuned and detuned states to realize the desired sensitivity.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

DESCRIPTION**RADIO FREQUENCY DATA COMMUNICATIONS DEVICE WITH  
ADJUSTABLE RECEIVER SENSITIVITY AND METHOD****5   Technical Field**

This invention relates to radio frequency communication devices, and more particularly to an adjustable radio frequency interrogator tag and method of adjusting transponder sensitivity.

**10   Background Art**

As large numbers of objects are moved in inventory, product manufacturing, and merchandising operations, there is a continuous challenge to accurately monitor the location and flow of objects. Additionally, there is a continuing goal to interrogate the location of objects in an inexpensive and  
15 streamlined manner. Furthermore, there is a need for tag devices suitably configured to mount to a variety of objects including goods, items, persons, or animals, as well as any moving or stationary and animate or inanimate object. One way of tracking objects is with an electronic identification system.

One presently available electronic identification system utilizes a magnetic  
20 field modulation system to monitor tag devices. A controller or interrogator unit creates a magnetic field that becomes detuned when the tag device is passed through the magnetic field. In some cases, the tag device may be alternatively tuned and detuned in a sequence unique to the tag device in order to distinguish between a number of different tags, each having a distinct identify  
25 sequence. Typically, the tag devices are entirely passive, eliminating the need for a portable power supply which results in a small and portable package. However, this identification system is only capable of distinguishing a limited number of tag devices, over a relatively short range, limited by the size of the

resulting magnetic field. Detuning is the means of encoding the identification number of the tag device or its data.

Another electronic identification system utilizes an RF transponder device affixed to an object to be monitored, in which a controller or interrogator unit  
5 transmits an interrogation signal to the device. The device receives the signal, then generates and transmits a responsive signal. The interrogation signal and the responsive signal are typically radio-frequency (RF) signals produced by an RF transmitter circuit. Since RF signals can be transmitted over greater distances than magnetic fields, RF-based transponder devices tend to be more  
10 suitable for applications requiring tracking of a tagged device that may not be in close proximity to an interrogator unit. However, when a large number of devices are utilized, the interrogator unit triggers frequent wake-up of each device. As a result, responsive signals are frequently generated. For the case of a battery powered device, the life of the battery is severely diminished due  
15 to frequent unintentional wake-ups of the device. Therefore, there is a need to produce tags having different receiver sensitivities, and to produce tags having either factory or user adjustable sensitivity. Such constructions are the subject of this invention.

## 20 Brief Description of the Drawings

Preferred embodiments of the invention are described below with reference to the following accompanying drawings.

Fig. 1 is a front view of an employee badge providing the device of this invention.

25 Fig. 2 is a front view of a radio frequency identification tag of this invention.

Fig. 3 is a block diagram of an electronic identification system illustrating communication between an interrogator unit and the tag of Fig. 2.

Fig. 4 is a plan view of a monolithic semiconductor integrated circuit utilized in the device of Fig. 2 illustrating an integrated circuitry layout  
5 configured for use with a hybrid antenna.

Fig. 5 is an alternative construction of a monolithic semiconductor integrated circuit from that depicted in Fig. 4, wherein the antenna is formed directly on the integrated circuit.

Fig. 6 is an enlarged partial view taken generally from encircled region  
10 6 of Fig. 4 depicting a discretely slit portion.

Fig. 7 is an enlarged partial view taken generally from encircled region 7 of Fig. 4 depicting a stepwise removed portion.

Fig. 8 is a diagrammatic side sectional view illustrating mounting of an integrated circuit, battery and antenna to the tag device of Fig. 2.

15 Fig. 9 is a diagrammatic side sectional view illustrating an alternative wire bonding technique for mounting the integrated circuit, battery and antenna to the tag device of Fig. 2.

Fig. 10 is a diagrammatic side sectional view illustrating another alternative mounting technique using tape automated bonding (TAB) of leads to electrically  
20 bond the integrated circuit, battery and antenna together on the tag device of Fig. 2.

#### **Best Modes for Carrying Out the Invention and Disclosure of Invention**

According to an aspect of this invention, an adjustable radio frequency  
25 data communications device comprises:

a monolithic semiconductor integrated circuit having integrated circuitry;

interrogation receiving circuitry provided on the monolithic integrated circuit forming at least part of the integrated circuitry and configured to receive an interrogation signal from the interrogator unit;

an antenna electrically coupled to the interrogation receiving circuitry and  
5 configured to communicate with the remote interrogator unit;

a power source electrically coupled to the integrated circuitry and configured to generate operating power for the communications device; and

at least one of the antenna and the interrogation receiving circuitry having reconfigurable electrical characteristics, the electrical characteristics being  
10 reconfigurable to selectively tune the at least one of the antenna and the interrogation receiving circuitry within a range of tuned and detuned states to realize a desired receiver sensitivity of the communications device.

According to another aspect of this invention, an adjustable radio frequency data communications device comprises:

15 a monolithic semiconductor integrated circuit having integrated circuitry; transmitter circuitry provided on the monolithic integrated circuit and forming at least part of the integrated circuitry;

an antenna electrically coupled to the transmitter circuitry and configured to communicate with the remote interrogator unit;

20 a power source electrically coupled to the integrated circuitry and configured to generate operating power for the communications device; and

at least one of the antenna and the transmitter circuitry having reconfigurable electrical characteristics, the electrical characteristics being reconfigurable to selectively tune the at least one of the antenna and the  
25 transmitter circuitry within a range of tuned and detuned states to realize a desired transmitter sensitivity of the communications device.



According to a third aspect of this invention, an adjustable radio frequency data communications device comprises:

a printed circuit board having printed circuitry;

interrogation receiving circuitry provided on the circuit board electrically  
5 coupled to the integrated circuitry and configured to receive an interrogation signal from the interrogator unit;

an antenna electrically coupled to the interrogation receiving circuitry, the antenna configured to receive the interrogation signal from the interrogator unit and deliver the interrogation signal to the interrogation receiving circuitry;

10 a power source electrically coupled to the printed circuitry and configured to generate operating power for the communications device; and

at least one of the antenna and the interrogation receiving circuitry having reconfigurable electrical characteristics, the electrical characteristics being reconfigurable to selectively tune at least one of the antenna and the  
15 interrogation receiving circuitry within a range of tuned and detuned states to realize a desired detuned receiver sensitivity of the communications device.

According to a fourth aspect of this invention, a method of adapting a radio frequency data communications device for use with a remote interrogator unit comprises the steps of:

20 providing transponder circuitry;

providing an antenna electrically coupled to the transponder circuitry for communicating with a remote interrogator unit; and

selectively tuning at least one of the antenna and the transponder circuitry within a range of tuned and detuned states to realize a desired receiver  
25 sensitivity responsive to an interrogation signal transmitted by the interrogator unit.

Referring more particularly to the drawings, Fig. 1 illustrates an employee identification badge 10 embodying this invention. The badge of this invention in one embodiment has a radio-frequency data communication device 12 laminated to a back face of a plastic card 11, wherein the card forms the visible portion of the badge. Preferably, the communication device 12 is bonded to the back face of the card by embedding it within a thin bond line of epoxy-based material. Alternatively, the communication device 12 is embedded into the plastic card 11. The communication device 12 has an antenna 14 that is electrically connected with a transponder silicon-chip integrated circuit 16 to form a transmitting and receiving device. Additionally, the device has a battery 18 that is electrically connected to the integrated circuit in order to power the device when it is transmitting and receiving radio-frequency (RF) signals between itself and another device. Preferably, the front face of the badge also has visual identification features including an employee photograph as well as identifying text.

Preferably, the antenna 14 is constructed and arranged to form a folded dipole antenna, consisting of a continuous conductive path, or loop of microstrip. The terminal ends of the loop each form a conductive lead similar to leads 37 in Fig. 4 that electrically interconnects with a transponder circuit 30 on the integrated circuit 16, as depicted in use in an alternative embodiment in Fig. 4 and discussed in greater detail below. Alternatively, the antenna can be constructed as a continuous loop antenna 22, as depicted in Figs. 2-3 and discussed in greater detail below.

Preferably, the battery 18 is a thin profile button-type battery forming a small, thin energy cell more commonly utilized in watches and small electronic devices requiring a thin profile. A conventional button-type battery has a pair of electrodes, an anode formed by one face and a cathode formed by an

opposite face. Exemplary button-type batteries are disclosed in several pending U.S. patent applications including U.S. Patent Application Serial No. 08/205,957, "Button-Type Battery Having Bendable Construction and Angled Button-Type Battery", listing Mark E. Tuttle and Peter M. Blonsky as inventors (now U.S. Patent No. 5,432,827); U.S. Patent Application Serial No. 08/321,251, "Button-Type Batteries and Method of Forming Button-Type Batteries", listing Mark E. Tuttle as inventor; and U.S. Patent Application Serial No. 08/348,543, "Method of Forming Button-Type Batteries and a Button-Type Battery Insulating and Sealing Gasket", listing Mark E. Tuttle as inventor.

Figure 2 depicts an alternative construction for a radio-frequency data communications device 12' constructed as an identification postage stamp 20. Device 12' has a semiconductor-based transponder integrated circuit 16, a battery 18, and an antenna 22. Preferably, the antenna is constructed from a continuous piece of conductive microstrip configured in the shape of a square to form a loop antenna. Preferably, the postage stamp is formed from a thin sheet, or card 21 of plastic material having a thickness of about 0.005 inches, and a final width and height of about 1.25 inches. As was the case for the badge 10 of Fig. 1, preferably, the device 12' is bonded to a back face of the plastic card by embedding it in a thin layer of non-conductive epoxy material. The final thickness is about 0.030 inches. Further details of the construction will be discussed below with reference to Fig. 8.

Preferably, the integrated circuit 16, antenna 22, and battery 18 form a transponder device capable of transmitting and receiving RF signals with a radio-frequency interrogator unit 26, shown in Fig. 3 as radio-frequency communication system 24. Preferably, the interrogator unit includes an antenna 28, as well as dedicated transmitting and receiving circuitry, similar to that implemented on integrated circuit 16. One example of an interrogator unit implemented in

combination with a transponder unit is disclosed in U.S. Patent No. 4,857,893, hereby incorporated by reference. Generally, the interrogator unit transmits an interrogation signal 27 via antenna 28. The transponder device 12', in this case stamp 20, receives the incoming interrogation signal with antenna 22. Upon  
5 receiving signal 27, device 12' preferably responds by generating and transmitting a responsive signal 29. Preferably, the responsive signal 29 is encoded with unique information that uniquely identifies, or labels the stamp 20, as well as any object on which the stamp is affixed.

With the above described interrogator/transponder communication system  
10 24, a big benefit is provided over prior art devices that utilized magnetic field effect systems because a large number of uniquely identifiable tags can be constructed. With the old magnetic field effect systems, a passive element tag modified a magnetic field when moved in proximity to an interrogator unit, thereby allowing electronic identification and detection of the tag. However, in  
15 order to identify particular tags, each tag was alternately tuned and detuned in a certain sequence in order to distinguish it from other tags. It is easy to see that such a system has at best a very limited ability to discriminate between tags. In contrast, a large amount of information can be carried on the responsive signal 29, allowing for detailed description of the device 12'.

20 As a result, such a system 24 can be used, for example, to monitor large warehouse inventories having many unique products needing individual discrimination to determine the presence of particular items within a large lot of products. However, a significant problem is posed by such implementations where a battery is used to supply power to the devices since each time an  
25 interrogation signal 27 is received, each device within receiving range of the signal will "wake up", thereby consuming valuable power and reducing the life of the battery. Typically, the life of the device is also reduced commensurately

since the battery is preferably permanently sealed inside either a badge 10, a stamp 20, or some other similar tag.

One reason for sealing the battery within the tag is to simplify the design and construction, as well as to reduce the cost of producing the tag. Another  
5 is to seal the battery within the tag, thereby protecting it from moisture and contaminants. A third reason is to enhance the cosmetic appeal of the tag by eliminating the need for an access port or door otherwise necessary to insert and remove the battery. When the battery is discharged, the entire badge or stamp is then discarded. Hence, it is desirable to maximize the life of the battery by  
10 minimizing power consumption.

Preferably, the transponder device 12' is electrically powered by a battery 18. In order to conserve the power supplied from battery 18, preferably, device 12' goes into a sleep, or battery conserving stand-by mode of operation during long time periods where no interrogation signal 27 is received by the device.  
15 Preferably, a low current circuit periodically wakes up the device every sixteen milliseconds in order to check if any RF signals are being detected by the device. Upon detection of such signals, the device fully wakes up, returning it to a full power operating mode. In order to further extend the life of battery 18, the receiver sensitivity of the transponder device 12' is preferably tuned over  
20 a range of tuned and detuned states in order to modify the ability of the device to detect signal 27, and therefore adjust the tendency for the device to wake up.

Preferably, the receiver sensitivity of the device is adjusted by reconfiguring the electrical characteristics (circuitry) of the circuit forming the transponder  
25 device. One way to adjust the receiver sensitivity is to adjust the sensitivity, or impedance of the antenna. Another way is to adjust or switch in different circuit elements in the transponder device, thereby realizing different circuit

configurations. Additionally, the transmitting sensitivity for the transponder device can be adjusted in essentially the same manner. Techniques of this invention for adjusting the transmitting and receiving sensitivities for an antenna will be discussed below with reference to implementations depicted generally in Figs. 4-7.

5 Techniques of this invention for adjusting the transmitting and receiving sensitivities for circuit elements of the transponder device will also be discussed below with reference to implementations depicted generally in Figs. 4 and 5.

Fig. 4 depicts the particular construction of integrated circuit 16 as implemented on the devices 10 and 20 of Figs. 1 and 2, respectively. As shown  
10 in Fig. 4, the integrated circuit is formed from a single monolithic silicon chip construction wherein the integrated circuit, or wafer receives an array of transponder circuits 30, logic circuits 32, memory control logic circuits 34, and a programmable memory array 36 according to standard semiconductor wafer processing steps. Additionally, pairs of conductive die pads 38 and 40 are  
15 formed on the integrated circuit in order to facilitate electrical connection with the antenna 22 and battery 18, respectively. Preferably, circuits 30 are electrically coupled with the conductive die pads 38 and 40 by way of sections of conductive microstrip 39 and 41, respectively.

For illustrative purposes, antenna 22 is depicted in electrically conductive  
20 and bonded relationship with pads 38 via legs 37, although the preferred assembly technique, illustrated in Fig. 8 and discussed below, involves a flip-chip epoxy bonding technique wherein the antenna 22 is actually printed onto the back face of the plastic card 21 forming the postage stamp 20, after which the integrated circuit is bonded to the antenna, as well as to the battery, using a  
25 conductive epoxy.

Fig. 4 depicts the relationship of the transponder circuits 30 relative to antenna 22 which electrically connects directly to the transponder circuits, and

the battery (not illustrated in this Figure), and which also electrically connects directly to the transponder circuits. Preferably, the logic circuits 32, the memory control logic 34, and the programmable memory array 36 electrically connect with the transponder circuits 30. In this manner, battery 18, once electrically  
5 connected to pads 40, powers all of the circuits 30-36.

According to one technique for tuning the transceiving sensitivity of a device 12' carried by a tag, for example stamp 20, the antenna is laser trimmed after it is formed in order to reconfigure a conductive portion of the integrated circuit, thereby modifying the transceiving sensitivity of the device 12' by changing  
10 its impedance. For purposes of this disclosure, transceiving sensitivity includes transmitter and receiver sensitivity. Alternatively, just the transmitting sensitivity or the receiving sensitivity can be tuned. Further alternatively, separate transmitting and receiving antennas can be independently tuned. For example, a receive antenna and a separate backscatter transmit antenna can be used, and  
15 for one case, just the receive antenna is trimmed in order to tune it. Furthermore, for purposes of this disclosure, tuning refers to either tuning or detuning a radio-frequency transponder device. Finally, adjustment of the antenna impedance relative to the impedance of the transponder circuits imparts a tuning to the combined electrical circuit. For example, when the antenna impedance  
20 matches the transponder circuit impedance and the two are connected in series, the circuit is optimally tuned. Similarly, various degrees of impedance mismatching produce corresponding levels of detuning.

Preferably, transponder circuit 30, logic circuits 32 and memory control logic 34 are implemented as a combination of hardware circuit elements and  
25 software. With respect to the software components, preferably, the software is implemented in the programmable memory array 36.

Fig. 6 depicts one method for tuning the antenna 22 of Fig. 4, wherein a portion of conductive microstrip forming the antenna is selectively removed along each edge with a laser, forming a transverse slit 52. The resulting step-width change in the microstrip antenna causes a change in impedance, thereby  
5 changing the tuned state of the antenna from the originally produced state. In this manner, a large lot of identical antennas can be mass produced at the factory, after which the antennas can be laser trimmed to create batches of antennas having tailored tuned characteristics, e.g. specific receiving sensitivities.

Preferably, the antenna 22 of Figs. 4 and 6-7 is printed onto the back  
10 side of card 21, forming the microstrip loop antenna. For example, the antenna can be silk screened onto the card with a conductive polymer thick film. Alternatively, a conductive silver filled epoxy can be used. Further details of this construction will be provided below when describing Fig. 8. Alternatively, the antenna can be formed from a separate piece of conductive material, for  
15 example, from a piece of wire or conductive ribbon that is glued to the back of the card.

Fig. 7 illustrates another method for tuning the antenna of Fig. 4, wherein the microstrip antenna is produced with widened regions, forming laterally extending pairs of stubs 54. The pairs of stubs impart changes in the impedance  
20 of the resulting antenna 22. Additionally, the stubs facilitate laser removal of portions 56, leaving enshortened stubs 58. As a result, the impedance of the antenna 22 can be changed, thereby tuning the antenna based on the amount of conductive material removed from portions 56, as well as from the number of portions 56 removed from an array along the microstrip.

25 Fig. 5 illustrates a third method for tuning an antenna on a semiconductor transponder integrated circuit 46 having a coil-shaped antenna 48 formed directly on the integrated circuit in the form of microstrip with one of several presently



known standard semiconductor deposition techniques. Antenna 48 is preferably formed with a conductive bridge line 50 similar to conductive microstrip lines 39 and 41 that shunts conduction between adjacent coils of the antenna. After the antenna is produced, at least part of the conductive bridge line 50 can be removed, either mechanically or by laser trimming in order to tune the antenna to a desired state. By selectively cutting the bridge line 50, the effective conducting length of the antenna is modified, realizing one or several possible length antennas defined by the number and size of each coil, and any remaining portion of the bridge. Preferably, an insulating layer of material is deposited on top of conductive leads 41 during manufacture in order to insulate the leads from shorting out coils on the antenna 48. Alternatively, numeral 46 of Fig. 5 can represent a printed circuit board having integrated circuitry and hybrid circuit elements attached to the circuitry, forming the circuits 30-36 and antenna 48. For a device of this invention implemented on a printed circuit board, the inventive contribution consists of intentionally detuning the transponder sensitivity of the tag device.

For the case where the receiver sensitivity of the device is adjusted by reconfiguring the transponder circuits, the receiver sensitivity can be modified by electrically modifying the receiver circuit on the integrated circuit itself. For example, a plurality of parallel circuits, each having a different impedance or amplification factor are alternately switched into an electrically conductive configuration within the transponder circuit. Essentially, different fixed matching networks can be alternately switched into connection within the circuit.

One way to achieve the switching is to provide temporary contact connections on the integrated circuit (not shown) for forming a temporary electrical connection at the factory, allowing for factory setup of one of the matching networks within circuits 30 to realize a specific tuned condition for a

transponder device 12. For example, an array of resistive and/or capacitive elements can be provided on parallel circuit legs, each configured with a physical switch for connecting and disconnecting the leg from the transponder circuit, such that each leg imparts a different circuit impedance, and hence, a different tuning.

5 Another way is to implement a software switching routine that allows either factory or user switching of different circuit implementations within the transponder circuits 30, with actual circuit elements or with a software routine implemented in memory 36 and triggered by interrogation signals 27 received from the interrogator unit 26 depicted in Fig. 3 to realize a software-based

10 circuit implementation. Additionally, each circuit leg can have an amplifier configured to impart a distinct tuning level to the circuit when switched into connection with the circuit. Hence, the transponder circuit realized on the integrated circuit is modified to change the circuit impedance, thereby realizing a different receiving sensitivity for the device. The same technique can be used

15 to tune the transmitting sensitivity. Additionally, a hybrid element such as a trim pot can be connected to the circuits 30 of the integrated circuit to allow adjustment, or tuning of the circuits by either a manufacturer or a user.

Another reason for providing a tuning feature on RF data communication devices such as tags 10 and 20 is to allow a manufacturer to produce large lots

20 of identical integrated circuits and antennas, thereby enabling a cost savings resulting from large scales of production. Furthermore, by producing runs of identical devices, variables can be better controlled, enabling production of more uniform product which increases the amount of acceptable yield. After manufacture, the integrated circuits and/or antennas can be tuned by one of the

25 techniques of this invention in order to create tuned tag devices having particular receiving and/or transmitting sensitivities.

For example, stamps 20 can be mass produced, then the antenna 22 can be tuned to impart one of three receiver sensitivities of 5 feet, 20 feet or 100 feet. One customer may need tags having only one of the above operating ranges. Another customer may need all three, placing the least sensitive tags  
5 on objects where frequent inadvertent wake up calls from an interrogator are undesirable. When it is necessary to wake up the device, the interrogator is positioned within the five foot range in order to activate the device, resulting in a responsive signal 29. Additional applications requiring employee badges 10 having varying degrees of receiver sensitivity can easily be envisioned.

10 Fig. 8 shows an exemplary technique for assembling the postage stamp 20. The same technique can be used to assemble the badge 10 or any other similarly constructed tag having a rigid support or substrate similar to plastic cards 11 and 21. First, antenna 22, conductive pads 66-68 and conductive microstrip leads 69 are printed onto a back face of the sheet of material.  
15 Preferably, the above elements, or conductors are simultaneously printed onto the back of a large sheet of plastic material with a conductive silver printed thick film. Later, the cards are individually separated (after complete assembly), or cut from the sheet. Pads 66 form enlarged connection points for the antenna 22, in contrast to the pads 37 formed directly from the end portions of the  
20 antenna 22 in Fig. 4. Next, the sheet is positioned front face down onto a rigid support plate 62. Then integrated circuit, or chip 16 is mounted to pads 66 and 67 with conductive beads of epoxy 70. Finally, the battery 18 is bonded along its bottom face with a bead of conductive epoxy 70 to the sheet, on each card, after which conductive epoxy 70 is used to electrically connect the opposite  
25 terminal or top of the battery with a corresponding conductive die pad 68. The antennas and electrical components are then electrically tested and/or trimmed, if necessary, prior to being encapsulated.

## 16

Subsequently, a bead of hot melt glue forming a dam 64 sized to conform generally to the outer peripheral shape of the sheet 21 is placed over the back of the card. The dam functions as an outer template while a thin layer of non-conductive epoxy (not shown) is applied to the back of the sheet 21, preferably hermetically sealing in (or encapsulating) the integrated circuit, antenna and battery. Subsequently, the sheet is separated, or singulated to form separate cards. In this manner, a large number of devices are assembled onto a single sheet, after which they are separated. Preferably, the thin coat of epoxy consists of a coating, barely thick enough to cover over the components forming the device 12'. One benefit provided by this construction technique is the elimination of any visible bumps in the tag which can result when constructing the tag by heat sealing two or more pieces of plastic card together to trap the device 12' therein. However, a lesser preferred construction of this invention envisions forming the tag, e.g. badge 10, stamp 20, or some other tag, with such a heat sealed sandwich of plastic cards. Furthermore, for constructions using a printed circuit board, the tag can be formed from a case inside of which the board is mounted.

Preferably, the above technique for mounting integrated circuit 16 to card 21 consists of a flip-chip mounting technique. One example of a flip-chip mounting technique is disclosed in pending U.S. Patent Application Serial No. 08/166,747, "Process of Manufacturing an Electrical Bonding Interconnect Having a Metal Bond Pad Portion and Having a Conductive Epoxy Portion Comprising an Oxide Reducing Agent", listing Rickie C. Lake and Mark E. Tuttle as inventors, and herein incorporated by reference.

Fig. 9 depicts an alternative method for electrically connecting the integrated circuit 16 to the antenna 22 and battery 18 with conductive wires. In this construction, the integrated circuit 16 is adhesively bonded to the back

face of card 21, between the bonding pads 37 of the antenna 22 and the battery 18. Similarly, the battery 18 is bonded along a bottom face to pad 68. Subsequently, a wire 76 and 78 is used to connect each of the integrated circuit pads 38 and 40, respectively, to antenna bonding pads 37 and the top and  
5 bottom of battery 18, respectively. Preferably, each wire is soldered to the associated pads and battery. Alternatively, the wires can be electrically mounted using conductive epoxy.

Fig. 10 depicts another alternative method for electrically connecting the integrated circuit 16 to the antenna 22 and battery 18 with conductive leads 80  
10 and 82, respectively. Preferably, one end of each lead 80 and 82 is bonded to a pad 38 and 40 on the integrated circuit, respectively, and the other end is bonded to pad 37, and pad 67 and the top of battery 18, respectively, using conductive epoxy. Alternatively, the leads can be soldered at each end to the respective components. Preferably, the battery is bonded to the back face of  
15 card 21 by applying conductive adhesive between the battery and pad 68. Preferably, the integrated circuit is bonded along a bottom face to the back side of card 21.

CLAIMS

1. An adjustable radio frequency data communications device for use with a remote interrogator unit, the device comprising:

5 a monolithic semiconductor integrated circuit having integrated circuitry;

interrogation receiving circuitry provided on the monolithic integrated circuit forming at least part of the integrated circuitry and configured to receive an interrogation signal from the interrogator unit;

10 an antenna electrically coupled to the interrogation receiving circuitry and configured to communicate with the remote interrogator unit;

a power source electrically coupled to the integrated circuitry and configured to generate operating power for the communications device; and

15 at least one of the antenna and the interrogation receiving circuitry having reconfigurable electrical characteristics, the electrical characteristics being reconfigurable to selectively tune the at least one of the antenna and the interrogation receiving circuitry within a range of tuned and detuned states to realize a desired receiver sensitivity of the communications device.

2. The device of claim 1 further comprising transmitter circuitry provided on the monolithic integrated circuit, the transmitter circuitry and the interrogation receiving circuitry forming a transponder circuit configured in combination with the antenna for communicating with the interrogator unit.

3. The device of claim 2 wherein the transmitter circuitry further comprises reconfigurable electrical characteristics, the transmitter electrical characteristics being reconfigurable to selectively tune the transmitter circuitry within a range of tuned and detuned states to realize a desired transmitter sensitivity of the communications device.

4. The device of claim 1 further comprising a plurality of leaded ports provided in electrical communication with the interrogation receiving circuitry on the integrated circuit, the antenna comprising a hybrid element separate from the monolithic integrated circuit, the antenna being bonded to the leaded ports to electrically couple the antenna to the interrogation receiving circuitry.

5. The device of claim 1 wherein the antenna comprises a separate hybrid element, the antenna being wire bonded to the integrated circuit in electrical communication with the interrogation receiving circuitry.

6. The device of claim 1 wherein the antenna has the reconfigurable electrical characteristics selectively tunable to realize a desired receiver sensitivity of the communications device.

7. The device of claim 6 wherein the antenna is constructed and arranged to be selectively detunable to realize the desired receiver sensitivity.

8. The device of claim 6 wherein the antenna is constructed and arranged to be selectively laser trimmed to realize one of a plurality of tuned states.

9. The device of claim 8 wherein the antenna comprises a contiguous piece of conductive microstrip, a region of the microstrip being constructed and arranged to facilitate removal thereof to change impedance of the antenna.

10. The device of claim 9 wherein the region is laser trimmed.

11. The device of claim 9 wherein the microstrip comprises a removed region, the removed region providing a width change in the microstrip.

12. The device of claim 9 wherein the microstrip comprises a removed region, the removed region providing a transverse slit in the microstrip.

13. The device of claim 9 wherein the antenna comprises a coil having a conductive bridge line, at least a portion of the bridge line being constructed and arranged to facilitate selective removal thereof to conductively reconfigure the coil for changing impedance of the antenna.

14. The device of claim 1 wherein the antenna comprises a loop antenna.

15. The device of claim 1 wherein the antenna comprises a folded dipole antenna.

16. The device of claim 1 wherein the antenna is formed directly on the monolithic semiconductor integrated circuit.



17. The device of claim 1 wherein the power source comprises a battery, the monolithic integrated circuit including electrical connectors for electrically coupling the battery to the interrogation receiving circuitry.
18. The device of claim 1 further comprising an encapsulating package receiving the semiconductor integrated circuit, the antenna, the interrogation receiving circuitry, and the power source.
19. The device of claim 18 wherein the package, integrated circuit, antenna, interrogation receiving circuitry, and power source comprise a radio frequency identification data tag.
20. The device of claim 19 wherein the radio frequency identification data tag further comprises transmitter circuitry, the transmitter circuitry and the interrogation receiving circuitry being configured to provide a transponder circuit.
21. The device of claim 19 wherein the antenna is separate from the integrated circuit.
22. The device of claim 19 wherein the package comprises an identification badge configured to be situated on a user.
23. The device of claim 19 wherein the package comprises a piece of plastic material.

24. The device of claim 1 wherein the interrogation receiving circuitry provides the reconfigurable electrical characteristics, the interrogation receiving circuitry being selectively tunable by electrically reconfiguring the electrical characteristics.

25. The device of claim 24 wherein the reconfigurable electrical characteristics comprise a plurality of fixed matching networks, at least one of the fixed matching networks being configurable into electrical connection with the receiver circuit in order to selectively tune the receiver circuit, the receiver circuit and the antenna thereby realizing the desired receiver sensitivity for the device.

26. The device of claim 24 wherein the interrogation receiving circuitry is selectively tunable, the interrogation receiving circuitry comprising at least one circuit having a selectively tunable circuit element.

27. The device of claim 24 wherein the interrogation receiving circuitry is selectively tunable, the interrogation receiving circuitry comprising memory and software resident in the memory, the memory and software being operable to realize a software-based circuit implementation modifiable to selectively tune the interrogation receiving circuitry.

28. An adjustable radio frequency data communications device for use with a remote interrogator unit, the device comprising:

a monolithic semiconductor integrated circuit having integrated circuitry;

transmitter circuitry provided on the monolithic integrated circuit and forming at least part of the integrated circuitry;

an antenna electrically coupled to the transmitter circuitry and configured to communicate with the remote interrogator unit;

a power source electrically coupled to the integrated circuitry and configured to generate operating power for the communications device; and

at least one of the antenna and the transmitter circuitry having reconfigurable electrical characteristics, the electrical characteristics being reconfigurable to selectively tune the at least one of the antenna and the transmitter circuitry within a range of tuned and detuned states to realize a desired transmitter sensitivity of the communications device.

29. An adjustable radio frequency data communications device for use with a remote interrogator unit, the device comprising:

a printed circuit board having printed circuitry;

interrogation receiving circuitry provided on the circuit board electrically coupled to the integrated circuitry and configured to receive an interrogation signal from the interrogator unit;

an antenna electrically coupled to the interrogation receiving circuitry, the antenna configured to receive the interrogation signal from the interrogator unit and deliver the interrogation signal to the interrogation receiving circuitry;

a power source electrically coupled to the printed circuitry and configured to generate operating power for the communications device; and

at least one of the antenna and the interrogation receiving circuitry having reconfigurable electrical characteristics, the electrical characteristics being reconfigurable to selectively tune the at least one of the antenna and the interrogation receiving circuitry within a range of tuned and detuned states to realize a desired detuned receiver sensitivity of the communications device.

30. The device of claim 29 wherein the antenna is mounted to the circuit board.

31. The device of claim 29 wherein the antenna comprises a separate circuit element mounted to the circuit board and encased in a package.

32. The device of claim 29 wherein the antenna is constructed and arranged to be selectively detunable by trimming the antenna.

33. The device of claim 29 wherein the antenna is formed from the printed circuitry.

34. The device of claim 29 further comprising transmitter circuitry having at least one circuit with at least one selectively tunable circuit element electrically reconfigurable to modify tuning of the transmitter circuitry.

35. The device of claim 34 wherein the at least one circuit comprises a plurality of fixed matching networks and means for switching one of the fixed matching networks into electrical connection with the interrogator receiving circuitry in order to adjust tuning of the interrogator receiving circuitry and the antenna.

36. The device of claim 34 wherein the at least one circuit comprises a detunable circuit element, with the resulting transmitter circuitry and the antenna having respective mismatched impedances so as to at least partially detune reception of the resulting device.

37. The device of claim 34 wherein the at least one circuit comprises a tunable circuit element, with the resulting transmitter circuitry and the antenna having respective matched impedances so as to at least partially detune reception of the resulting device.

38. A method of adapting a radio frequency data communications device for use with a remote interrogator unit, the method comprising the steps of:

providing transponder circuitry;

providing an antenna electrically coupled to the transponder circuitry for communicating with a remote interrogator unit; and

selectively tuning at least one of the antenna and the transponder circuitry within a range of tuned and detuned states to realize a desired receiver sensitivity responsive to an interrogation signal transmitted by the interrogator unit.

39. The method of claim 38 wherein the step of selectively tuning comprises trimming the antenna.

40. The method of claim 38 wherein the step of selectively tuning comprises configuring electrical conduction of the transponder circuit.

41. The method of claim 40 wherein the transponder circuit is selectively tuned by electrically switching in one or more of a plurality of fixed circuit networks for realizing the desired receiver sensitivity of the communication device.

42. The method of claim 40 wherein the transponder circuit includes a circuit network, the method further including the step of selectively tuning the circuit network.

43. The method of claim 38 wherein the transponder circuit comprises a receiver circuit, the step of selectively tuning comprises detuning the receiver circuit.

44. The method of claim 38 wherein the transponder circuit comprises a receiver circuit, the step of selectively tuning comprises trimming the antenna.

45. The method of claim 38 wherein the transponder circuit comprises a transmitter circuit, with the step of selectively tuning comprising detuning the transmitter circuit.

46. The method of claim 38 wherein the transponder circuit comprises a transmitter circuit, with the step of selectively tuning comprising trimming the antenna.

1/8

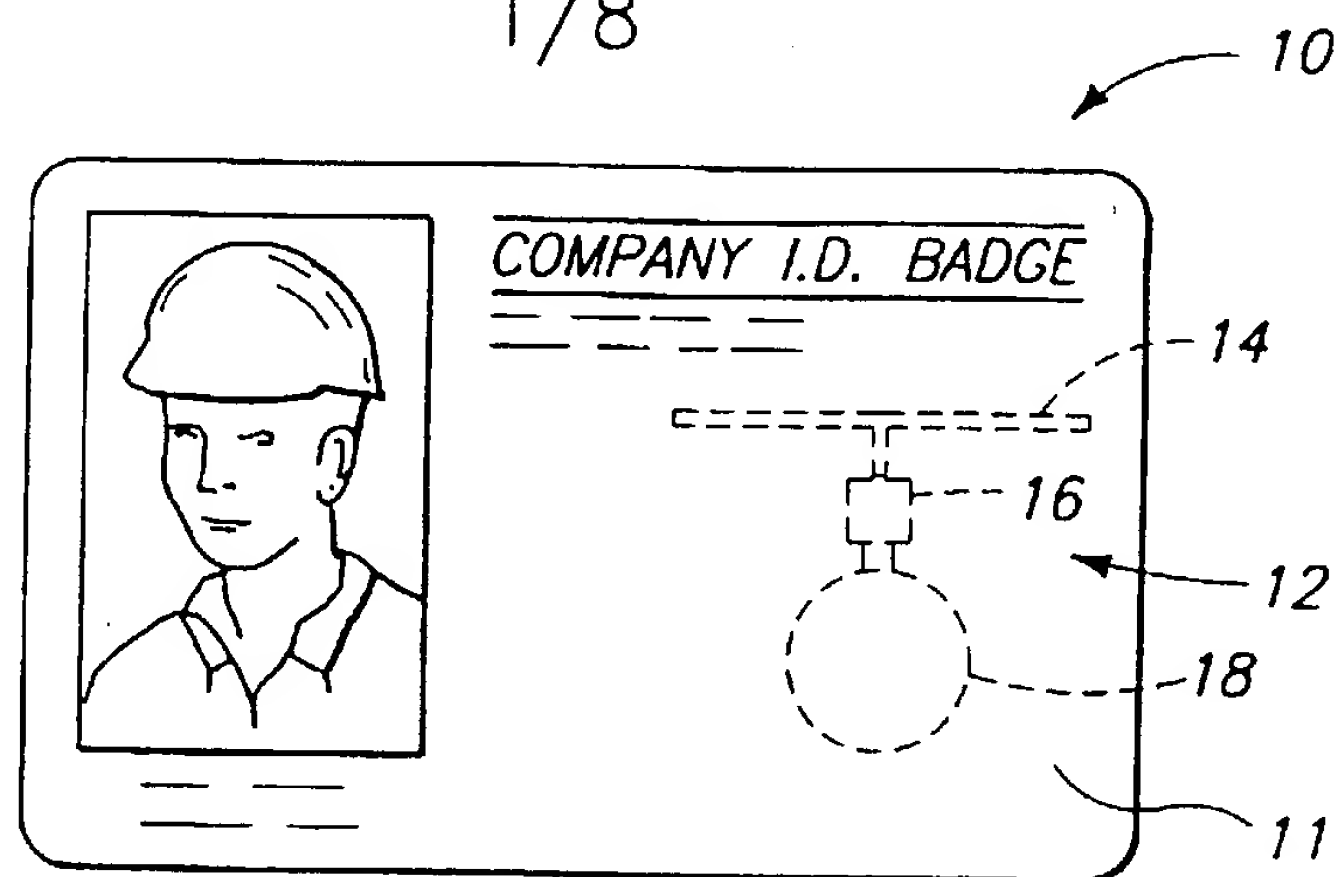


FIG. 10

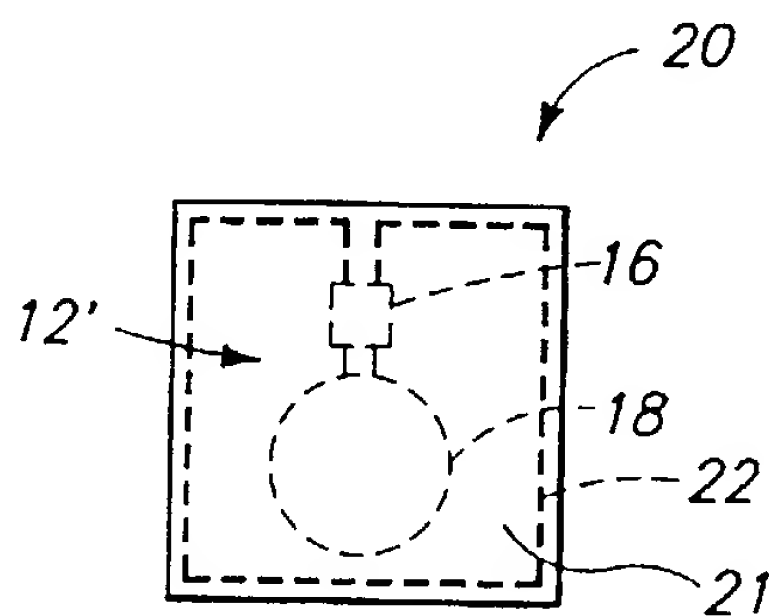


FIG. 20

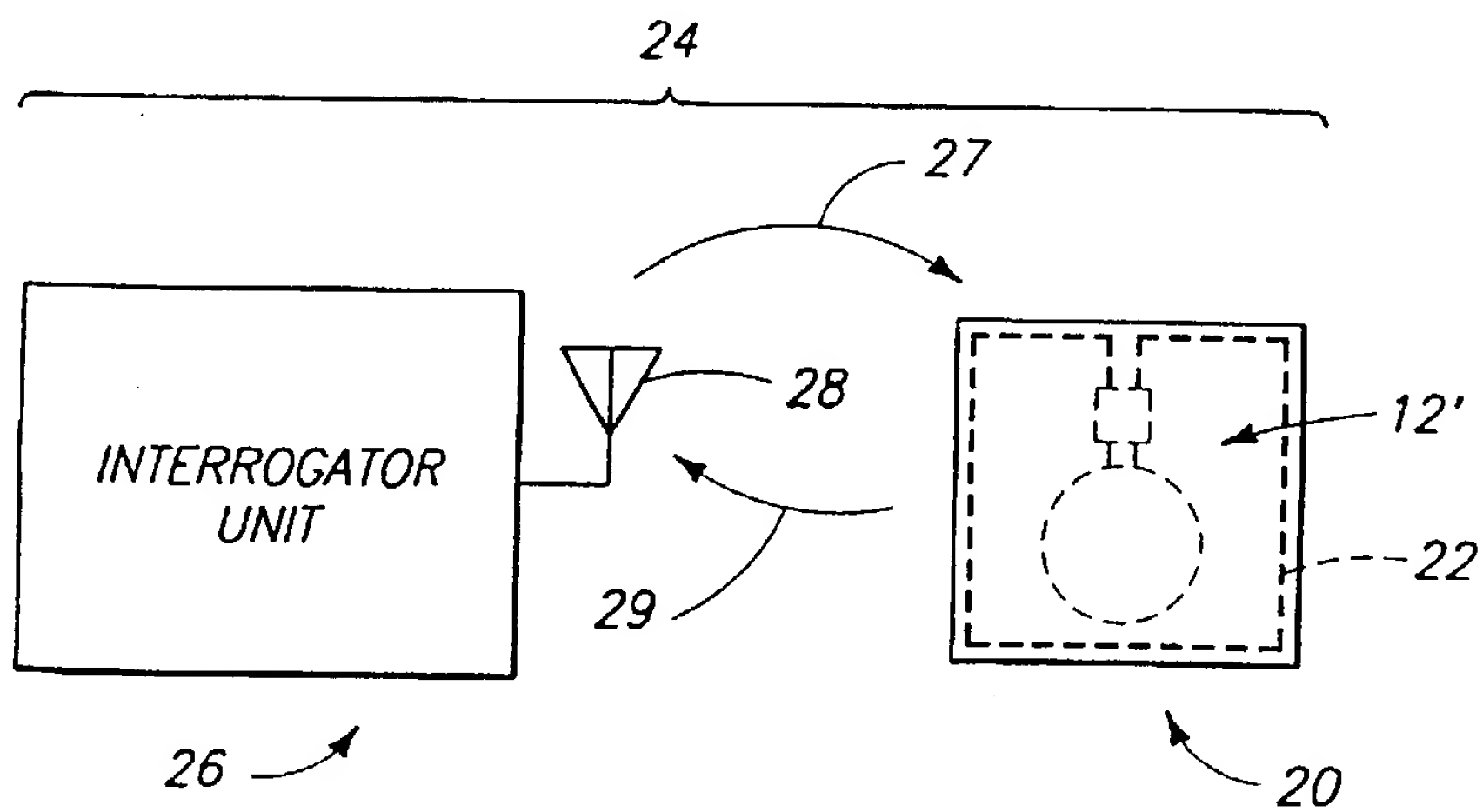
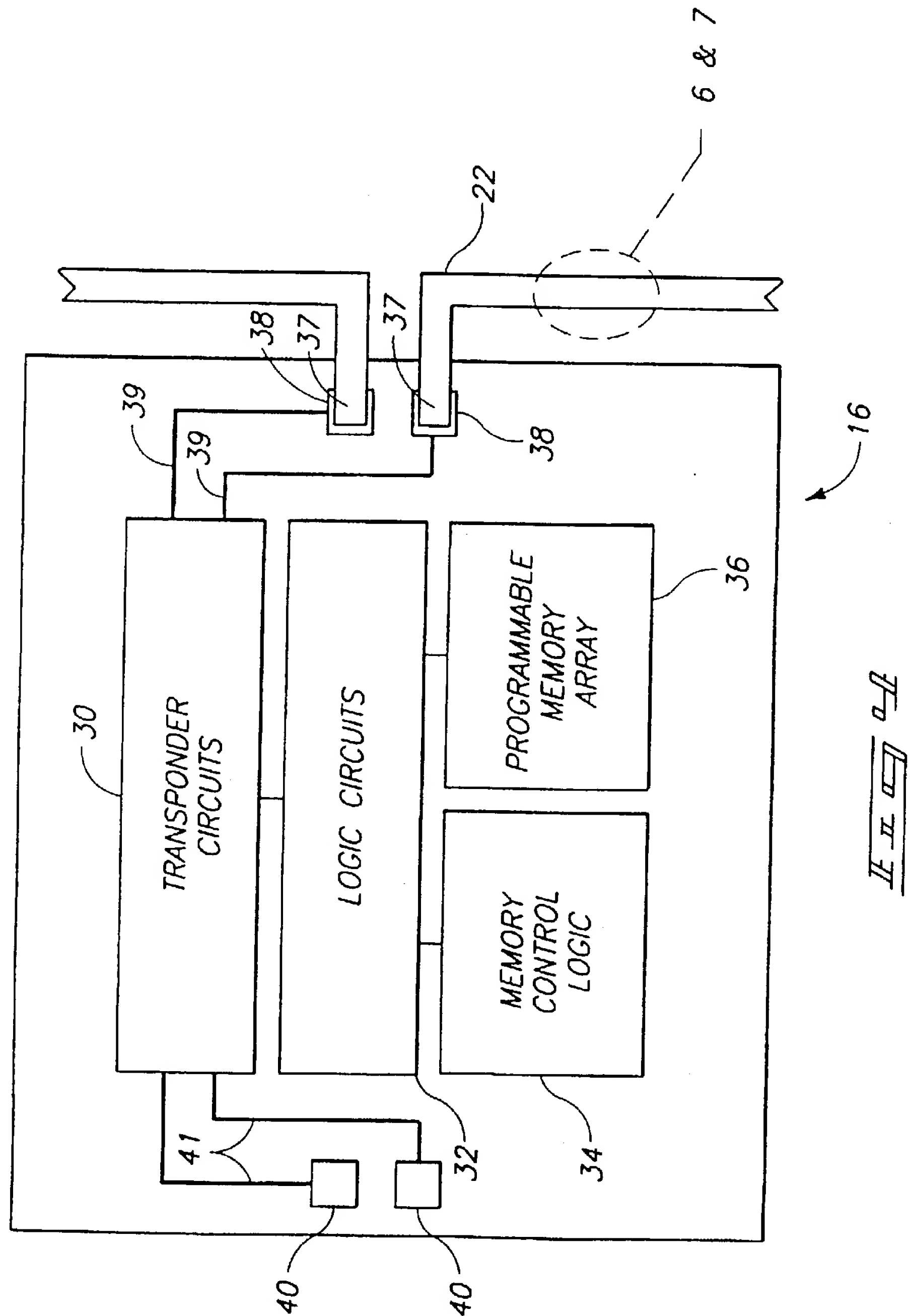


FIG. 24



2/8



3/8

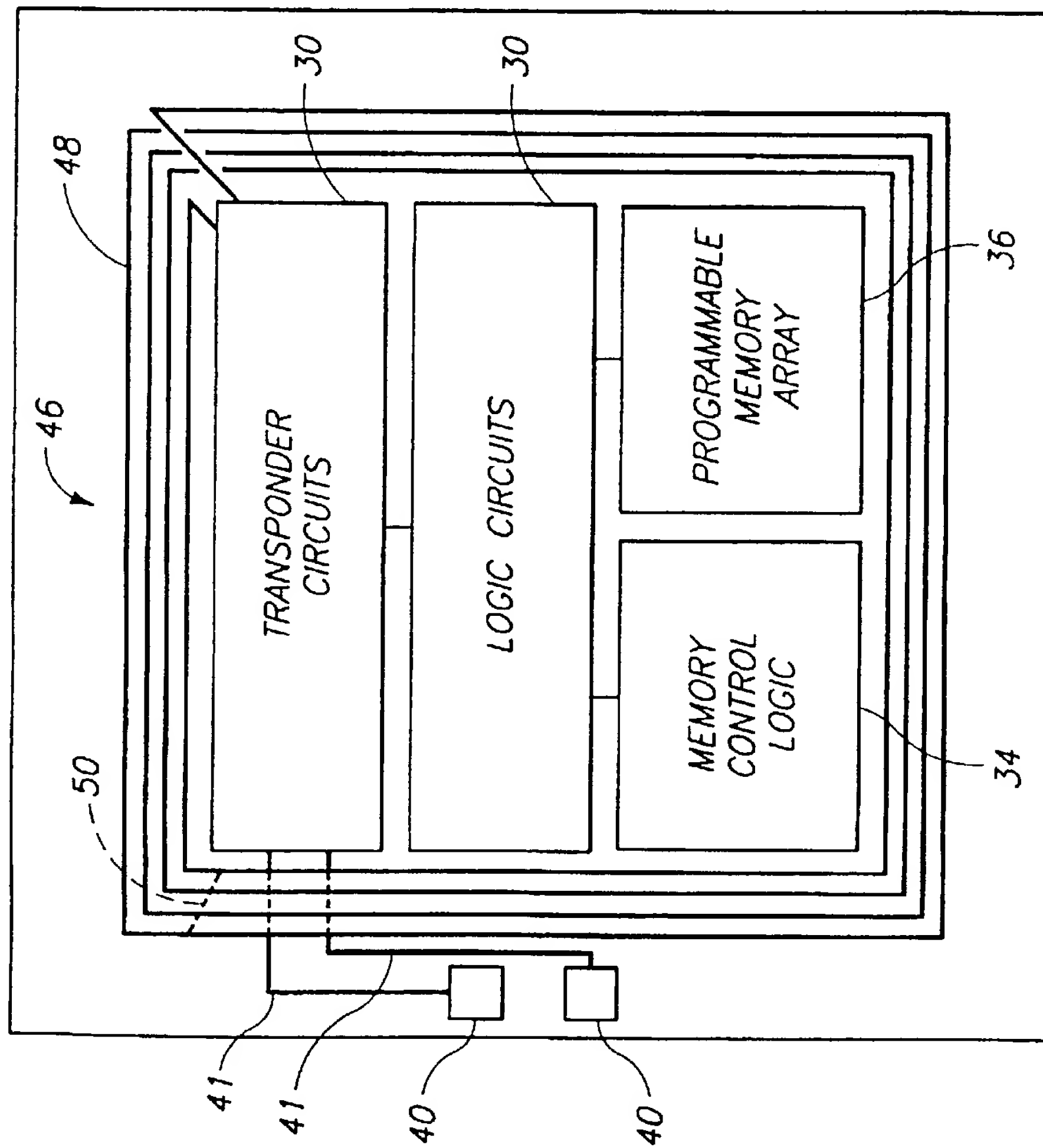


FIG. 3

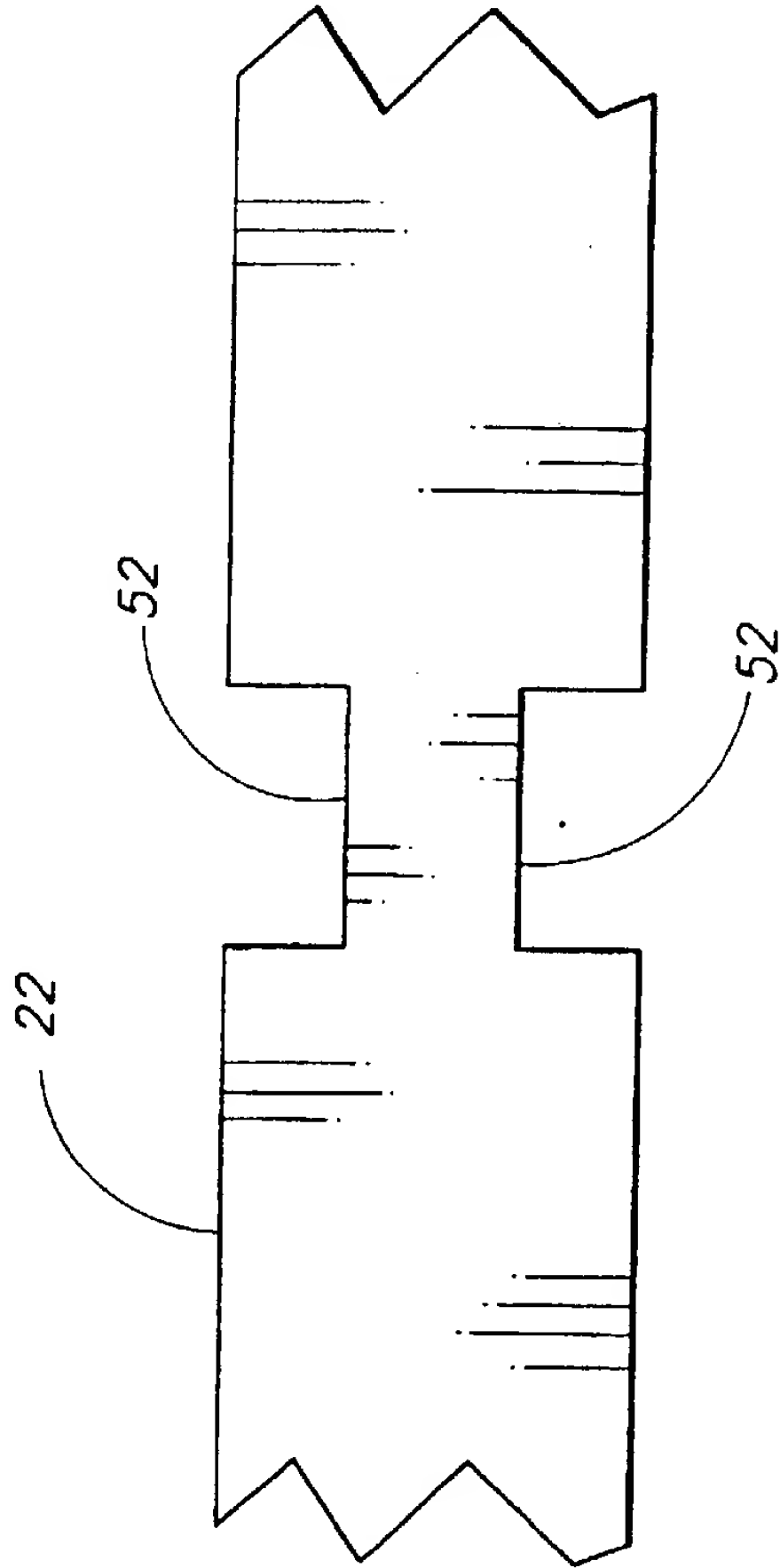


Fig. 4

5/8

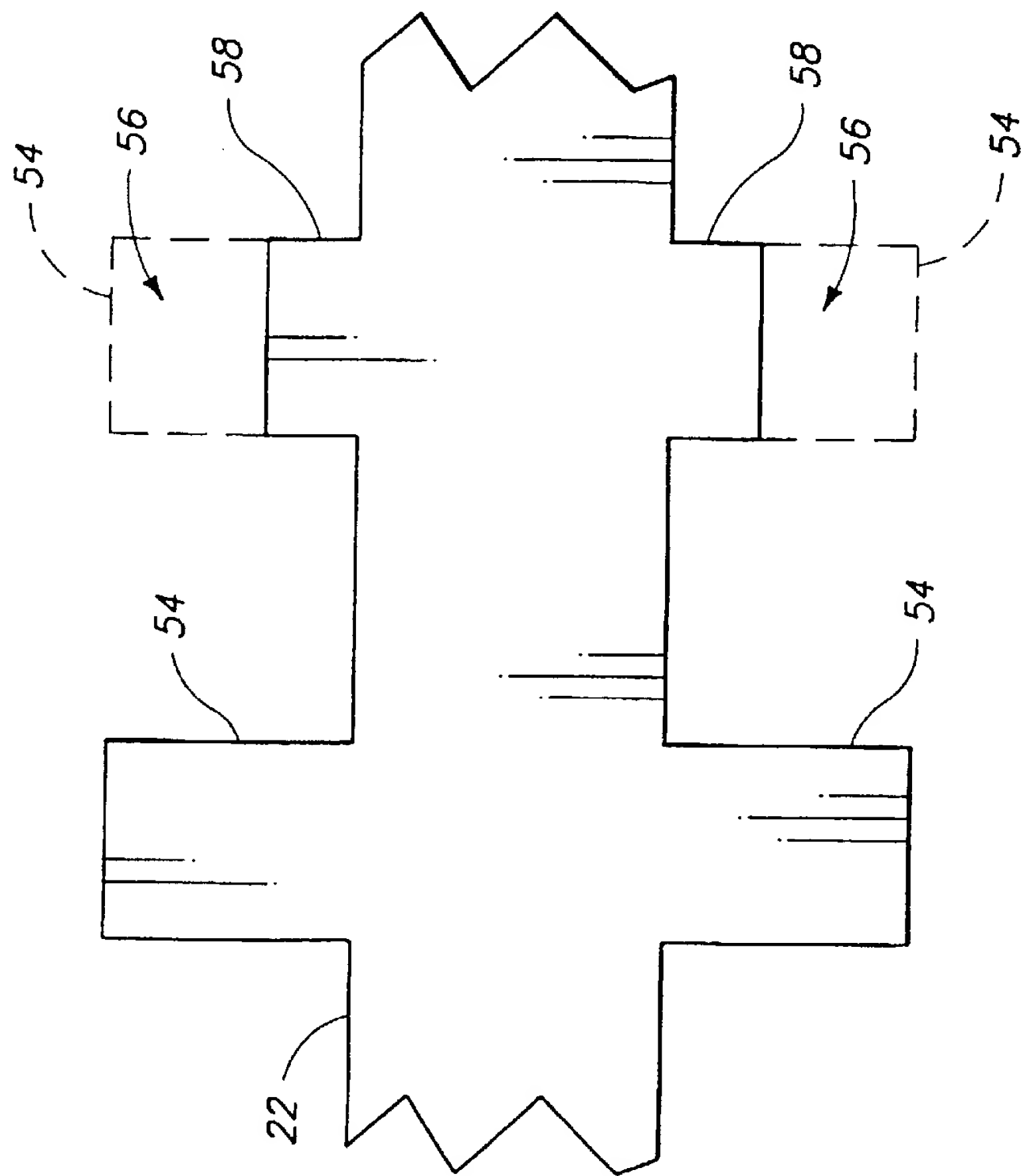


Fig. 5

6/8

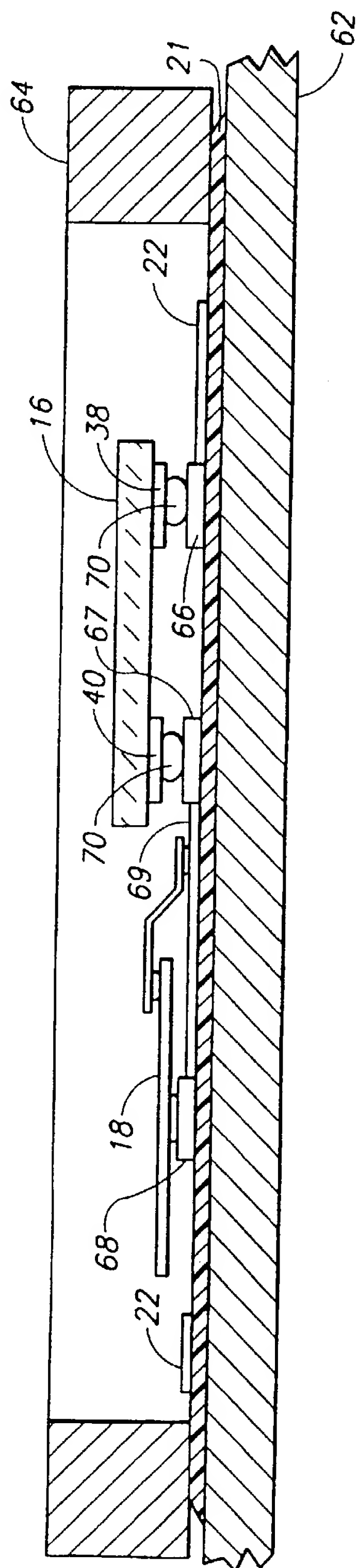


FIG. 6

7/8

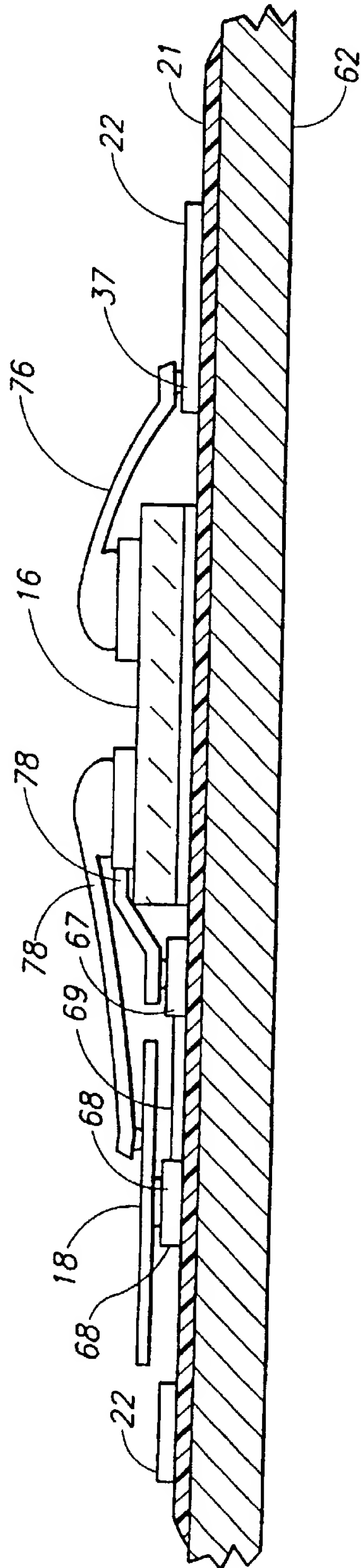
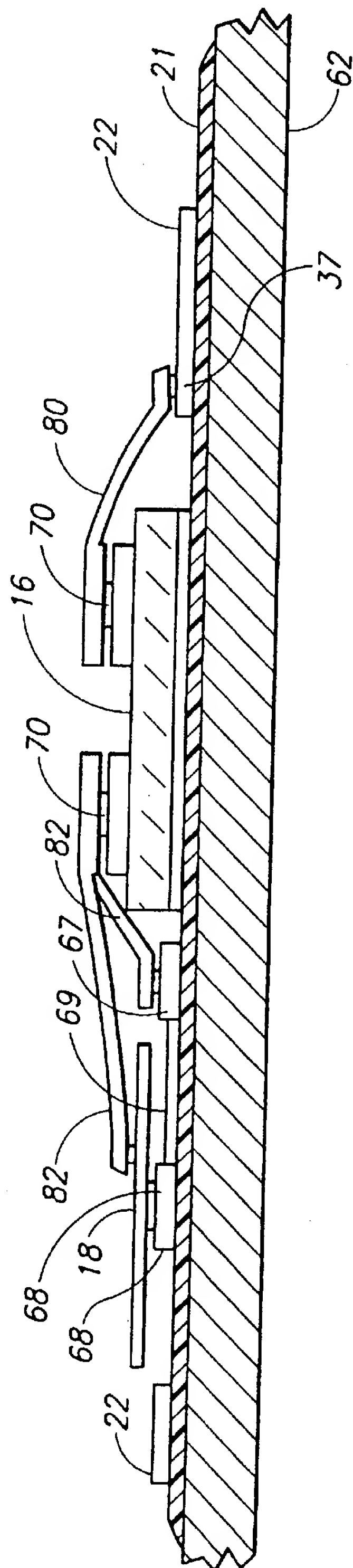


FIG. 7

8/8



II II II

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/13519

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H04Q 1/00

US CL : 340/825.54,572; 343/795

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 340/825.54,572,573; 343/795

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X -- Y	US 4,857,893 A (CARROLL) 15 August 1989. col. 3 line 19, col. 6 lines 60-63, col. 10 lines 13-31.	1 - 5, 14, 16 - 18,24,26,28,38,4 0,42,43,45 ----- 6-13,15, 19- 23,25,27,29- 37,39,41,44
Y	US 5,495,260 A (COUTURE) 27 February 1996, see col. 5 lines 15+.	6-13,15,19- 23,25,27,29- 37,39,41,44
Y	US 5,119,070 A (MATSUMOTO ET AL) 02 June 1992, Figure 1.	13,25,35,41
Y	US 5,144,314 A (MALMBERG ET AL) 01 September 1992, Abstract.	27

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

* Special categories of cited documents:	* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

06 NOVEMBER 1997

Date of mailing of the international search report

15 DEC 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

BRIAN ZIMMERMAN

Telephone No. (703) 305-4900